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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	57104 CONT
First Inventor	John Wentworth BUCKNELL
Title	TENSIONING HYDRAULIC NUTS
Express Mail Label No.	ER 195420578 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
5. Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: 2 Month Ext. of Time: Check for \$694.00; Express Abandon.  
Under 37 CFR 1.138; Prel. Amend. (9 pp.); Cert. of Exp. Mail.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/914,346

Prior application information:

Examiner Jori SCHIFFMAN

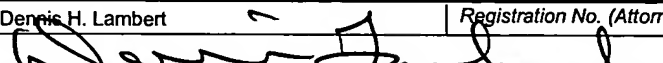
Art Unit: 3679

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Dennis H. Lambert & Associates		
Address	7000 View Park Drive		
City	Burke	State	Virginia
Country	USA	Zip Code	22015
Telephone	703-451-1227	Fax	703-451-1297

Name (Print/Type)	Dennis H. Lambert	Registration No. (Attorney/Agent)	25,017
Signature		Date	11/03/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22141 U.S. PTO  
10/699946



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Newly Filed Application – Continuation of 09/914,346  
Applicant: John Wentworth BUCKNELL  
Filed: Concurrently Herewith  
Title: HYDRAULIC TENSIONING NUTS

TC/A.U.: 3679  
Examiner: Jori SCHIFFMAN

Docket No.: 57104 CONT

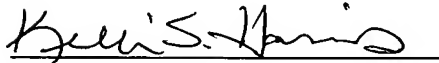
**CERTIFICATE OF EXPRESS MAILING**

Mail Stop: **Patent Application**  
Honorable Commissioner for Patents  
P. O. BOX 1450  
Alexandria, Virginia 22313-1450

S I R:  
Sir:

I, Kelli S. Harris, hereby certify that the attached documents: Check in the amount of \$694.00; Utility Patent Application Transmittal; Express Abandonment Under 37 CFR 1.138; Fee Transmittal; Request for Extension of Time (2 months); Preliminary Amendment (9 pp., 31 claims); Specification (19 pp.); Drawings (14 pp., Figures 1-34); Declaration (executed, 2 pp.), along with a first-class postage prepaid return receipt card, are being deposited today, **November 3, 2003** with the United States Postal Service with sufficient postage as Express Mail with mailing label number **ER 195420578 US** in an envelope addressed to:

Mail Stop: **Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450.

  
Kelli S. Harris

November 3, 2003  
Date

DHL:ksh

Dennis H. Lambert & Associates  
7000 View Park Drive  
Burke, Virginia 22015  
Tel: 703-451-1227  
Fax: 703-451-1297

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# EXPRESS ABANDONMENT UNDER 37 CFR 1.138

Send completed form to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	09/414,346
Filing Date	August 27, 2001
First Named Inventor	John W. Bucknell
Art Unit	3679
Examiner Name	Jori Schiffman
Attorney Docket Number	57104

Please check only one of boxes 1 or 2 below:

(If no box is checked, this paper will be treated as a request for express abandonment as of the filing date of this paper.)

1. ☐ **Express Abandonment**

I request that the above-identified application be expressly abandoned as of the filing date of this paper.

2. ☒ **Express Abandonment in Favor of a Continuing Application**

I request that the above-identified application be expressly abandoned as of the filing date accorded the continuing application filed previously or herewith.

**NOTE:** A paper requesting express abandonment of an application is not effective unless and until an appropriate USPTO official recognizes and acts on the paper. See the Manual of Patent Examining Procedure (MPEP), section 711.01.

**TO AVOID PUBLICATION, PLEASE USE FORM PTO/SB/24A INSTEAD OF THIS FORM.**

- I am the:
- ☐ applicant.
  - ☐ assignee of record of the entire interest. See 37 CFR 3.71.
  - ☐ attorney or agent of record. Registration Number \_\_\_\_\_
  - ☒ attorney or agent acting under 37 CFR 1.34(a) (may act under 37 CFR 1.34(a) only if box 2 above, stating that the application is expressly abandoned in favor of a continuing application, is checked). Attorney or agent registration number if acting under 37 CFR 1.34(a). 25,017

(Attorney or agent registration number)

11.03.03

Date

703.451.1227

Telephone Number

Signature

Dennis H. Lambert

Typed or printed name

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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110303  
US PTO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS

P.O. Box 1450

Alexandria, Virginia 22314-1450

**FEE TRANSMITTAL**

SIR:

Transmitted herewith for filing under 35 USC 111 and 37 CFR 1.53 is the ☐ Design ☒ Utility patent application of:

INVENTOR: John Wentworth BUCKNELL

ENTITLED: HYSRAULIC TENSIONING NUTS

Enclosed are:

- ☒ 19 pages of written description, claims and abstract.
- ☒ 14 sheets of drawings.
- ☐ An assignment of the invention to:
- ☒ Executed declaration of the inventor (2 pp., copy from 09/914,346).
- ☐ A certified copy of a \_\_\_\_\_ application. Priority is claimed if not already of record.
- ☒ Applicant claims small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement w/copies of references.
- ☒ Certificate of Express Mailing and Return Receipt Postcard.
- ☒ Other: Check in the amount of \$694.00; Preliminary Amendment (9 pp.); Request for Extension of Time; Express Abandonment Under 37 CFR 1.138; Utility Patent Application Transmittal.

The filing fee has been calculated as shown below:

ITEM AS FILED		# EXTRA	SMALL ENTITY	FULL FEE
Basic Fee			<input checked="" type="checkbox"/> Utility \$385. <input type="checkbox"/> Design \$165.	<input type="checkbox"/> Utility \$750. <input type="checkbox"/> Design \$330.
Total Claims	31 - 20 =	11 <sup>1</sup>	× \$ 9 = \$99.00	× \$ 84 = \$0
Independent Claims	2 - 3 =	0 <sup>2</sup>	× \$ 42 = \$0	× \$ 84 = \$0
<input type="checkbox"/> Multiple Dependent Claims in Proper Form Presented			+ \$140 =	+ \$280 =
<b>TOTAL</b>			<b>\$484.00</b>	<b>\$</b>

<sup>1</sup> If less than 20 filed, enter 0. <sup>2</sup> If less than 3 filed, enter 0.

- ☐ Please charge my **Deposit Account Number** \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the filing fee (and assignment recording fee, if any). A duplicate copy of this paper is enclosed.
- ☒ A check in the amount of **\$ 694.00** to cover the filing fee, extra claims and extension of time fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including patent application filing fees and processing fees under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to **Deposit Account Number** \_\_\_\_\_. A duplicate copy of this paper is enclosed.

DENNIS H. LAMBERT & ASSOCIATES  
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BURKE, VIRGINIA 22015  
TEL: 703-451-1227  
FAX: 703-451-1297  
DATE: November 3, 2003

Respectfully submitted,



Dennis H. Lambert, Reg. No. 25,017  
Attorney for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : Newly Filed Application  
Applicant : John Wentworth BUCKNELL  
Filed : Concurrently Herewith  
Title : HYDRAULIC TENSIONING NUTS  
  
TC/A.U. : 3679  
Examiner : Jori SCHIFFMAN  
  
Docket No. : 57104 CONT

**REQUEST FOR EXTENSION OF TIME**

Commissioner of Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

S I R:

Applicant requests that the time for taking action in the above-identified application be extended pursuant to 37 C.F.R. §1.136(a) for:

<input type="checkbox"/> One Month	<input checked="" type="checkbox"/> <b>Two Months</b>
<input type="checkbox"/> Three Months	<input type="checkbox"/> Four Months
<input type="checkbox"/> Five Months	

The fee set in 37 C.F.R. §1.17 for the extension of time is **\$210.00**.

☒ Fee enclosed.

☐ Charge fee to **Deposit Account No.** \_\_\_\_\_. A duplicate copy of this paper is enclosed.

☒ Applicant is a **small entity** entitled to pay reduced fees in this application.

Respectfully submitted,

Dennis H. Lambert & Associates



Dennis H. Lambert, Reg. No. 25,017

11/06/2003 CNGUYEN 00000014 10699948

03 FC:2252

210.00 0P

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